

Compliance Recording and Al in Microsoft Teams

Legally compliant recording and AI data analytics for maximum safety and efficiency



Compliance Recording is a Must for Regulated Industries

Financial Institutions, Healthcare companies or organizations in the public sector need to record their communication to meet legal regulations such as MiFID II, FCA or Dodd-Frank.

How to adhere to industry standards

• REC

Recording Assurance

All relevant parts of a conversation and meetings must be recorded and tracked.

\bigcirc

Highest Security Standards

Dual encryption of data and fully integrated user rights management should prevent unauthorized access to sensitive information.

¦ | |

Recording Rules

Complete control of who is recorded is a must, e.g. by user, user group, meeting owner, modality.

Search, Replay, Monitoring & Alerting

To meet regulatory requirements, a complete audit trail, powerful search, and easy playback.



High Availability

Fully parallel, tamperproof, georedundant recording and storage must ensure compliance recording at all times.



Retention Periods

Data must be securely stored in compliance with legal retention periods and automatically deleted upon expiration.

Ensuring Compliance in Microsoft Teams Communication

The compliance recording solution Recording Insights supports you in legally compliant recording and AI based analysis of all communication channels in Microsoft Teams.

Whether calls, meetings, shared screens, videos or chats – everything is archived securely and compliant in the Microsoft Azure Cloud. Recording Insights integrates seamlessly into Microsoft Teams.

RECORDING INSIGHTS VIDEO

Mitigate Risks and Gain Customer Insights with Recording Insights



Recording Insights at a Glance



Native Teams Solution

Native and certified solution for Microsoft Teams. Transactable via Azure Marketplace.

• REC

Omni-Channel Recording

Record & archive all media (calls, meetings, shared screen, video & chat).



Legal Requirements

Supports the fulfillment of legal regulations such as MiFID II or Dodd-Frank.

Al Data Analytics

Integration of Azure Al Services and Azure OpenAl with advanced features for communication analysis.



Microsoft Copilot

Recording Insights plugin for optimizing and streamlining workflows.



Al Policy Engine

Customizable templates for policy rules, patterns, categories & automated quality management.



Safe Storage in Azure

Compliant storage & archiving, multiregional, tamperproof.



Tenant-specific Data Encryption

Encrypted data exchange, supports Microsoft Entra ID. ধ্য

Fast & Easy Deployment

Enterprise-grade & globally scalable, onboarding less then 1 day.

Al Policy Engine powered by Azure

Enabling Compliance and Turning Data Into Business Value With Azure AI Services and Azure OpenAI

 \bigtriangledown



Compliance Monitoring

Monitoring compliance with internal and external requirements.



Keyword Spotting

Automatic search and display of keywords and adherence phrases.



Al Policy Templates

Ready-to-use policies to implement compliance regulations such as MiFID II, FCA, and Dodd-Frank.

- Automatic Categorization Automatically categorize all communications according to their compliance relevance.
- Transcription and Translation Document conversations with automatic transcription and translation into 100+ languages.
- Fraud Detection and Risk Alerting Al-powered detection of potential

fraudulent behavior or threats, even in real-time.

 \bigotimes

Dashboards and Reporting Dashboards with customized reporting and analysis.

Al Policy Templates for Finance Needs

In Recording Insights, the Al Policy Engine offers preconfigured Al policy templates that are specifically tailored to the requirements of banks and financial institutions.

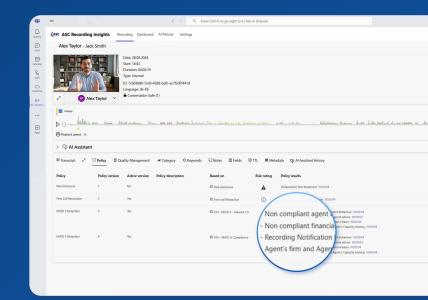
Preconfigured and based on current laws

- Ready-to-use compliance templates for MiFID II, FCA & Dodd-Frank.
 - Regular updates in the event of changes to legal provisions.

ų,	TAP C > Q. Press Ctrl+G to go right to a chain or channel					
Q. Activity	(Asc Asc Recording Insights Recording Dashboard Al Policies Settings Al Policies					
(E) Out						
Calendar	O Policies 🖹 Quality Management	Policy rules	Adherence phrases $~~$	Al Questions Q: Al Assistant	‰ Categories ∨	Conversation safe
Calls	+ Add rule 🖉 Edit rule 🔋 Deleter rule					
OneDrive	∨ Name	Description	Keywords	Keyword categories	Patterns	Adherence phrases
test ASC Record	 Policy Rule Templates (11) 					
 + Apps	Churn risks	Identifies interactions with custome	competitors.your competitors	5		
	FIN - Dodd Frank Compliance	Identification of non compliant con				
	FIN - FCA Compliance Monitoring	This policy assesses overall complia				
	FIN - FCA: Vulnerable Customer Det.	. Identification of vulnerable custome.				
	e Customer Det.	. FCA Guidance for firms on the fair tr				
Ì	- MiFID II: Comer Tre	FCA Guidance for firms on the fair tr				
		Identification of non compliant con				
	- MIFID II: Co	Identification of non compliant con				
		. Detecting illicitly recorded credit car.				
	Financial Regulations (EN)	This Policy Rule detects conversatio	Custody account transfer, cove	f		
	Finanzvorschriften (DE)	Erkennung von relevanten Gespräch	Abgeltungssteuer, Aktienmärkt	e		
	> Policy Rule Customs (337)					

Compliance Check

- Al automatically monitors every Teams conversation without exception.
- Monitors in real-time and gives indications of possible violations - no delays, no uncertainties.



Transform Your Operations with AI and Automated Quality Management

Manual quality management challenges supervisors in contact centers. These include subjectivity in assessment, insufficient resources for increasing customer inquiries, inefficient workflows and the risk that the quality of service is not always consistent and customer-oriented.



Objective evaluations

With AI, customer interactions are always evaluated objectively and fairly, resulting in consistent standards.



Resource efficiency

Al-driven reviews save time and resources by automating the review process.



Improved customer satisfaction

Automated agent training ensures high-quality, accurate, and empathetic customer communications.



Reduced workload for employees

Streamlined processes take the pressure off employees and allowing them to focus on other important tasks.





Microsoft Copilot and Recording Insights for smarter workflows

With the **Recording Insights** plugin for **Microsoft Copilot**, ASC combines cutting-edge AI technology with user-friendly workflows. Direct integration with Copilot allows you to seamlessly incorporate recordings and analyses into your processes while automating tasks with straightforward commands. This not only saves time but also improves the accuracy and efficiency of your daily operations.





Integration with Dynamics 365

With the integration of Recording Insights into Dynamics 365, you get full control as well as comprehensive insights into customer interactions.



- Complete customer history
- Link conversations to additional customer information
- Recordings are listed with compliance information
- Direct link to Recording Insights to replay recorded conversations
- Categorize conversations based on content to identify compliance risks
- Transcribes and saves the conversation as text



30-Day Free Trial of Recording Insights

Schedule a demo and set up a free trial at www.asctechnologies.com

FREE TRIAL



ASC Technologies

ASC is a global leader in compliance recording, quality management and Al-based analytics. Our solutions help organizations evaluate their communications-based data, securely meet regulatory requirements, and optimize customer service. Using Al, conversations are analyzed in real-time to detect compliance violations and assess the quality of customer interactions. Financial services, contact center, and public safety organizations rely on ASC to ensure their communication is legally compliant and customer-centric. Our solutions are scalable and flexible - available as cloud services, on-premise, or in hybrid environments. Headquartered in Germany, with subsidiaries in 16 locations and a global partner network in over 60 countries, ASC is the #1 in Europe and sets standards for Al-based communication technology worldwide.

