



AI-enabled Internal Controls Cockpit

Reimagining controls
strategy with data,
intelligence, and insights



genpact





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1. Introduction

In today's dynamic business environment, emerging risks demand sharper, faster, and more strategic responses. Compliance is non-negotiable, efficiency is expected, and internal control environments need a modern makeover to keep up.

That's where our proprietary unified digital platform, **AI-enabled Internal Controls Cockpit** steps in. It uses advanced analytics and generative AI to provide deep diagnostic insights, intelligent control optimization and actionable benchmarks. Designed for internal controls heads, controllers, chief audit executives, and other senior leaders, it's the strategic ally your organization needs to unlock efficiency and optimize control environments effectively.

2. The Challenge

Fragmented Data. Limited Insight. Missed Opportunities.

Organizations striving to modernize and optimize their internal control frameworks often face significant hurdles like:

- **Lack of actionable benchmarks:** Traditional survey-based control benchmarks offer broad averages with limited relevance or granularity
- **Data fragmentation:** Control environments siloed across geographies and systems hinder transparency and consistency
- **Overlooked optimization opportunities:** Without access to detailed, comparable control data, identifying areas of improvement is time-consuming and imprecise
- **Inconsistent risk management experience:** The absence of standard insights restricts organizations from creating cohesive, risk-aligned control strategies



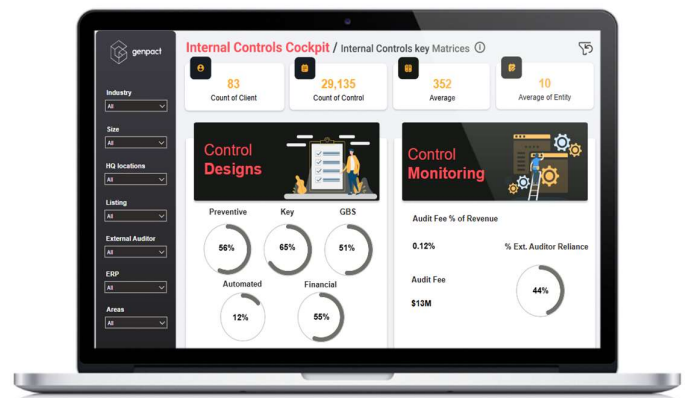
AI-enabled Internal Controls Cockpit

3. The Solution

AI-enabled intelligence meets control governance

With wide access to internal controls datasets across industries, functions, and geographies, the Internal Control Cockpit introduces a new paradigm in internal control diagnostics. It integrates three essential modules into one seamless platform, fundamentally transforming how businesses approach internal controls:

- **AI-Powered Controls Assistant** - An intelligent virtual advisor that provides real-time insights into control design across business processes
- **Internal Controls Optimizer** - A generative AI engine that analyzes your risk and control matrix (RCM) to offer actionable recommendations for streamlining controls.
- **Control Benchmarking & Monitoring Dashboards** - Dynamic dashboards that deliver deep insights into control environment, benchmarking current state and highlighting areas of improvement



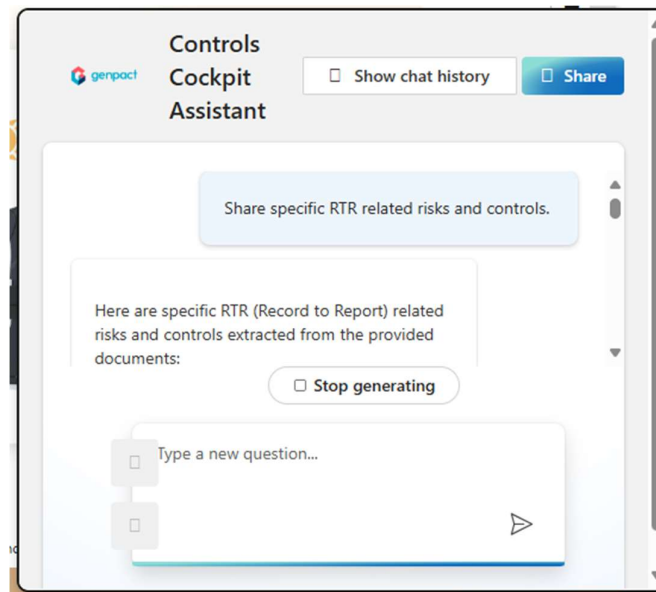
4. How It Works

4.1 AI Powered Control Assistant

Need insights that matter, exactly when you need them? This intelligent virtual advisor understands plain English and delivers context-aware responses on control objectives, design considerations, control types, and best practices - empowering both first-line teams and control leaders with consistent, expert-aligned guidance.



AI-enabled Internal Controls Cockpit



4.2 Controls Optimizer (AI Agent)

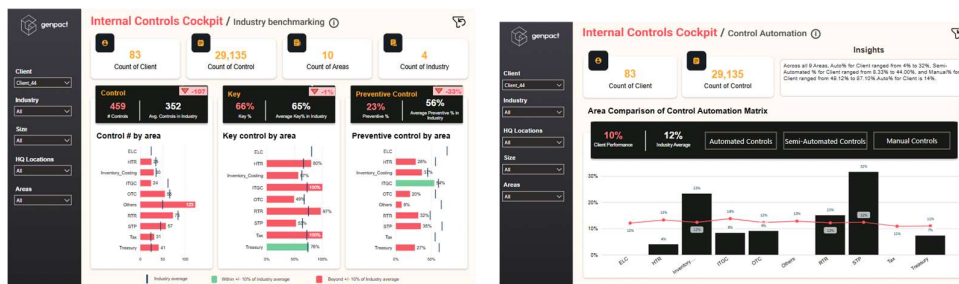
Turn static control libraries into actionable improvements. Upload your risk and control matrix (RCM), and this gen AI-driven engine functions as a virtual governance advisor - providing specific recommendations to rationalize, standardize, and even automate controls.



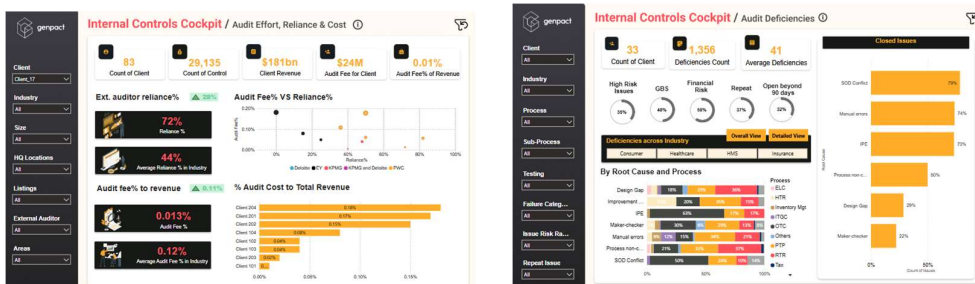


4.3 Control Benchmarking & Monitoring Dashboards

The **Dynamic Benchmarking** dashboards offer a panoramic view of your control environment. Benchmarked against its expanding database of 80+ companies and 29,000+ controls, it provides comparative analysis across business processes—covering metrics such as total control counts, key control ratios, technology enablement, and control type breakdown (preventive/detective).



The **Cockpit's interactive monitoring dashboards** provide a comprehensive diagnostic view of control environment, enabling deep analysis of control effectiveness. From identifying common root causes of audit deficiencies to categorizing failure types and highlighting repeat control violations, the dashboards offer granular insights that drive targeted action. Plus, the platform benchmarks key monitoring metrics—such as external audit costs, reliance placed by auditors on management testing, and testing efforts—helping organizations assess control maturity from both a cost and assurance perspective.



Every insight can be tailor-made for your industry, geography, and scale, ensuring relevance and precision in decision-making.



**Thank
you**