GIEOM

Intelligent Compliance

Digital Cockpit

Intelligent Compliance

GIEOM's Intelligent Compliance solution integrates the Digital Cockpit and the Transaction Analyzer Engine (TAE). This solution enables organizations to have Compliance and Operational Controls on human intensive work such as document verification (e.g. KYC).

The TAE randomly earmarks documents and data from core systems such as Core Banking Systems or CRM systems along with document management systems and requests a supervisor or an internal auditor to verify the human executed work. In cases where documentary evidences or transaction data is not digitally stored they are submitted by the employees in the tasks generated by GIEOM's Digital Cockpit. Automatically scheduled tasks can be setup for business areas or products lines; the criticality of the process will define the frequency of evidence verification. The Intelligent Compliance solution has been designed to be integrated with any Core Banking System, CRM or custom IT applications.

Advantages

Comprehensive regulatory compliance views with easy to configure dashboards

Human centric activities can be controlled and monitored

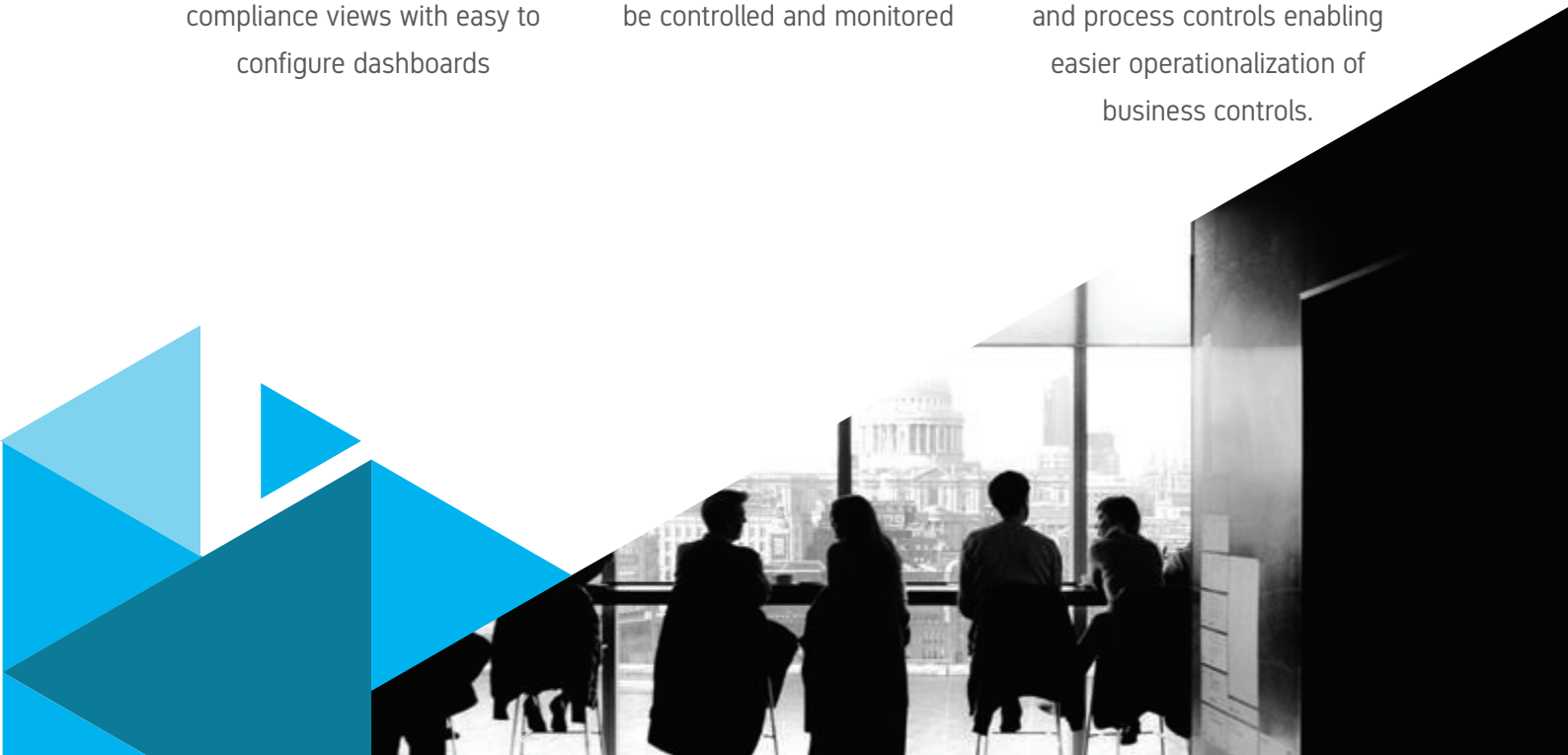Integrated risk, compliance and process controls enabling easier operationalization of business controls.

Features & Capabilities

Define operational/business risks - GIEOM's Digital Cockpit will allow businesses to setup Human controls for people to follow & consistently secure process outcomes. example: Daily or weekly Self Attestation confirmations.

Implement confirmation protocols e.g. KCSA and RCSA

The system will initiate actions based on the control definition for each role. Each action will seek an acknowledgement for completion of the specific task and optionally one or more evidences that show proof of task completion. It allows multi stage review and feedback for actions

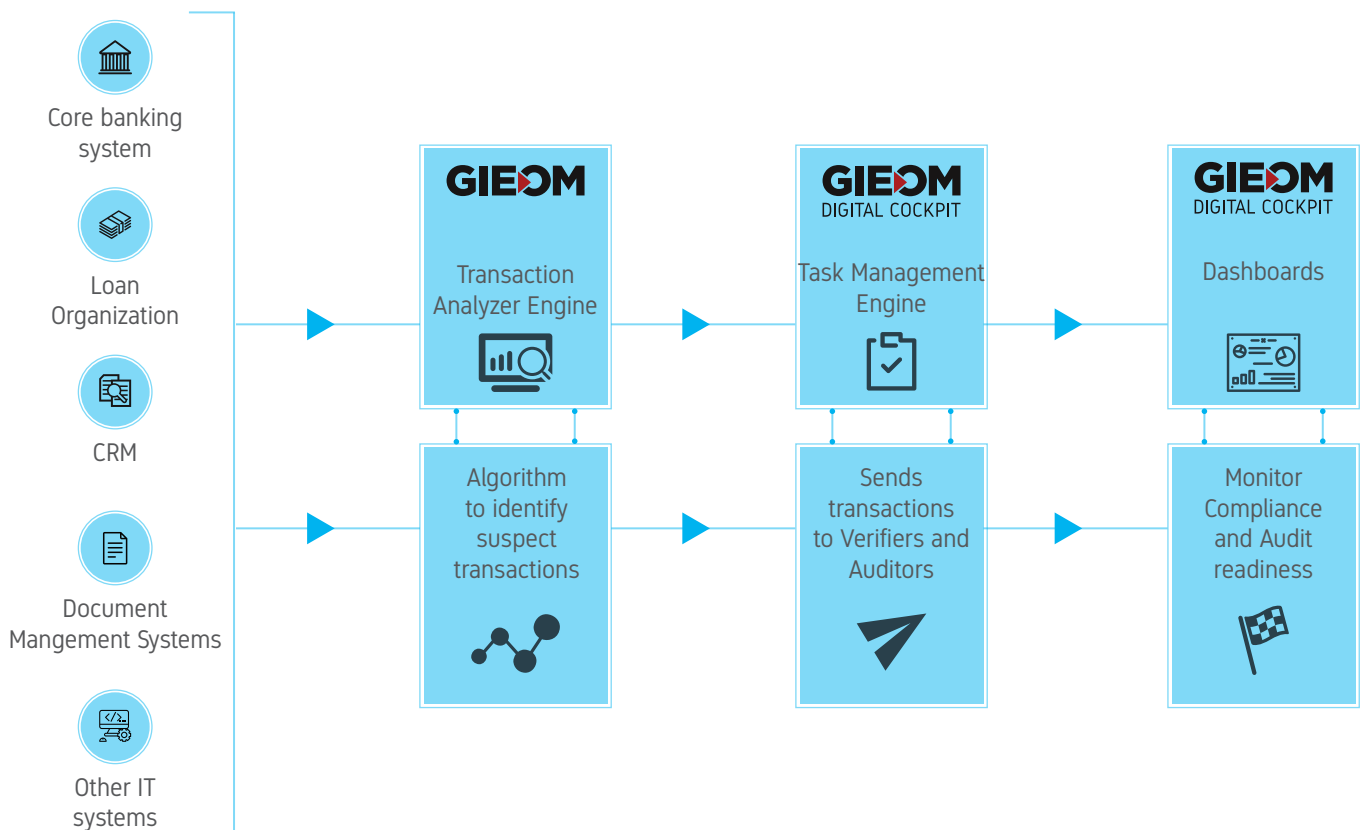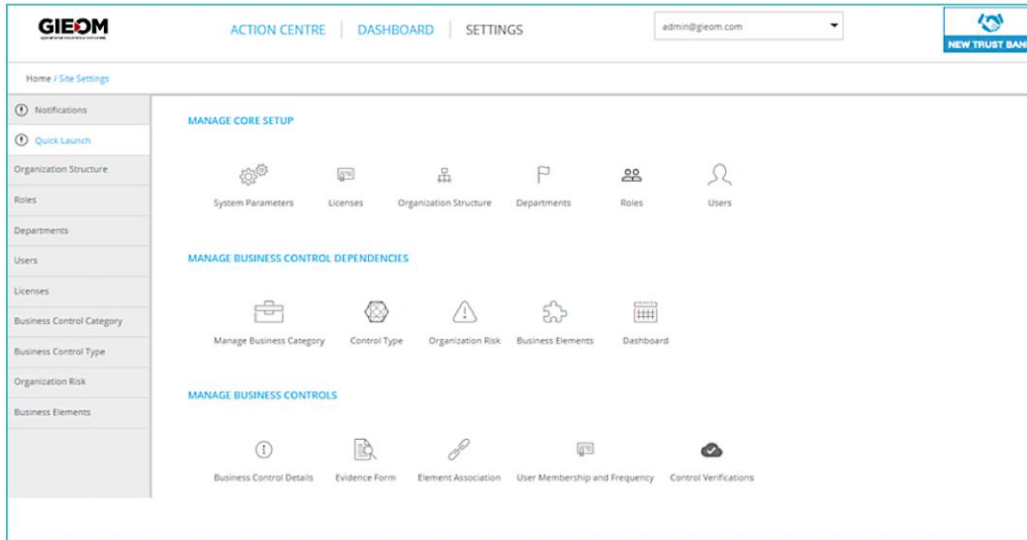Track resolution of issues

Use interactive dashboards to track organization-wide status of compliance actions, and design interventions
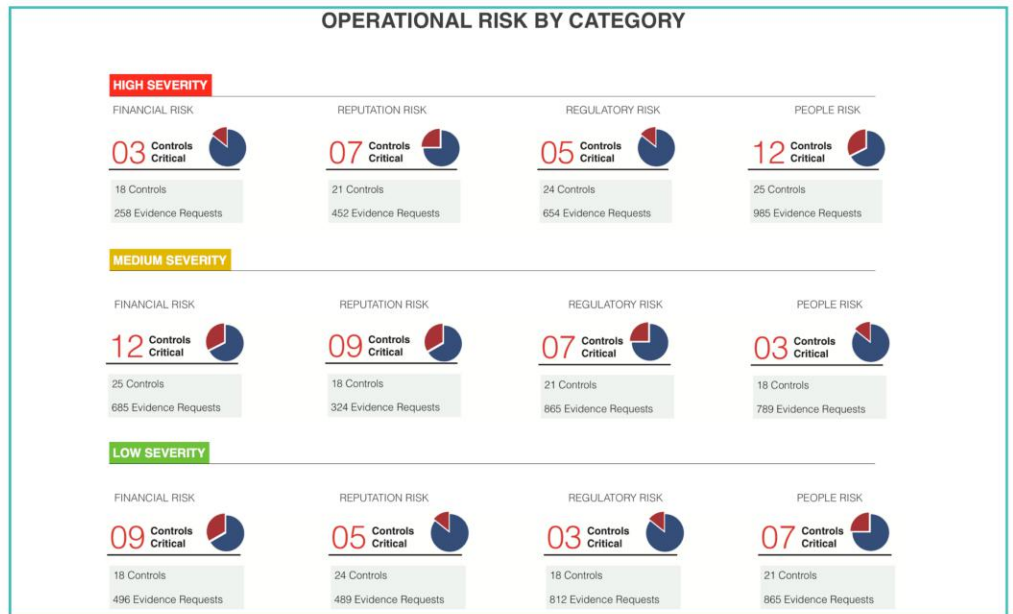
Downloadable reports

Digital Cockpit and TAE

- Core banking system
- Loan Organization
- CRM
- Document Mangement Systems
- Other IT systems

GIEOM
Transaction Analyzer Engine

GIEOM DIGITAL COCKPIT
Task Management Engine

GIEOM DIGITAL COCKPIT
Dashboards

Algorithm to identify suspect transactions

Sends transactions to Verifiers and Auditors

Monitor Compliance and Audit readiness

Setup Business Controls and Risk Mitigation Protocols easily

A comprehensive dashboard enables different stake holders the insight into compliance adherence of their units everyday

Configurable action forms to collect and validate compliance information and evidences.