



DECISION INC.

2022

# RISK MANAGEMENT APP



Catalyst to Growth

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# AGENDA

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Current Pain Points of Risk Management

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Risk Management App Capabilities & Benefits

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Risk App Interface

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Delivery Approach



# Current Pain Points of Risk Management

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# Business Drivers – Pain Points



**Disparate manual systems tracking risk**



**Spreadsheet-based capturing of company wide risk**



**Not clearly defining risk appetite mean missing out on 'positive risk' opportunity**



**Insights can be outdated and not timely to enable decision-making**





## Business Drivers – Pain Points



**Risk isn't quantified to mitigate effectively due to manual processes**



**Highly siloed approach when risk is captured in different departments**



**Inconsistencies in how to rate and treat risk across departments**



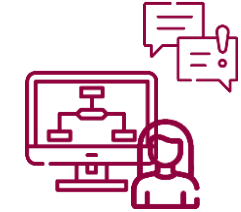
**A reactive approach to risk management has various negative consequences**



# Risk Management App

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Solution Capabilities



## User Friendly Interface

» While built on the SharePoint platform, the Risk Management Portal provides a customisable, user-centric interface to ensure an optimal user experience.

## Record Risk Detail

- » **Capture & assign risk details such as:**
- type
  - location
  - root cause
  - consequences
  - controls
  - accountable people

## Risk Classification Matrix

- » Categorise risk as strategic, financial, compliance and operational.
- » Built-in risk calculator to define the likelihood and impact of risks,
- » Assign ratings and trigger actions where required.

## Reporting and Search

- » Easily navigate risks using SharePoint Search functionality or
- » The built-in heatmap reporting features.

## Automated Risk Management Process

- » From Identification through to monitoring of results
- » Automatic notifications to potential parties who may be impacted.



**ENSURE CRISIS RESPONSE  
PREPAREDNESS AND RESILIENCY  
ACROSS THE ORGANISATION**



**ACCESSIBILITY FOR  
ALL USERS ACROSS  
MULTIPLE CHANNELS**



**END-TO-END RISK  
MANAGEMENT  
PROCESS**



**ENFORCE CAPTURING OF CONTROLS  
MEASURES AND RELATED ACTIONS  
TO MINIMISE IMPACT**



**HIGHLIGHT UNCONTROLLED  
RISKS AND OUTSTANDING  
ACTIVITIES**



**CLEAR ROLES & RESPONSIBILITIES:  
NOTIFY AND ESCALATE ACTIVITIES  
ASSIGNED TO USERS**





# Risk Management Portal

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Functionalities



# Risk App

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The Interface

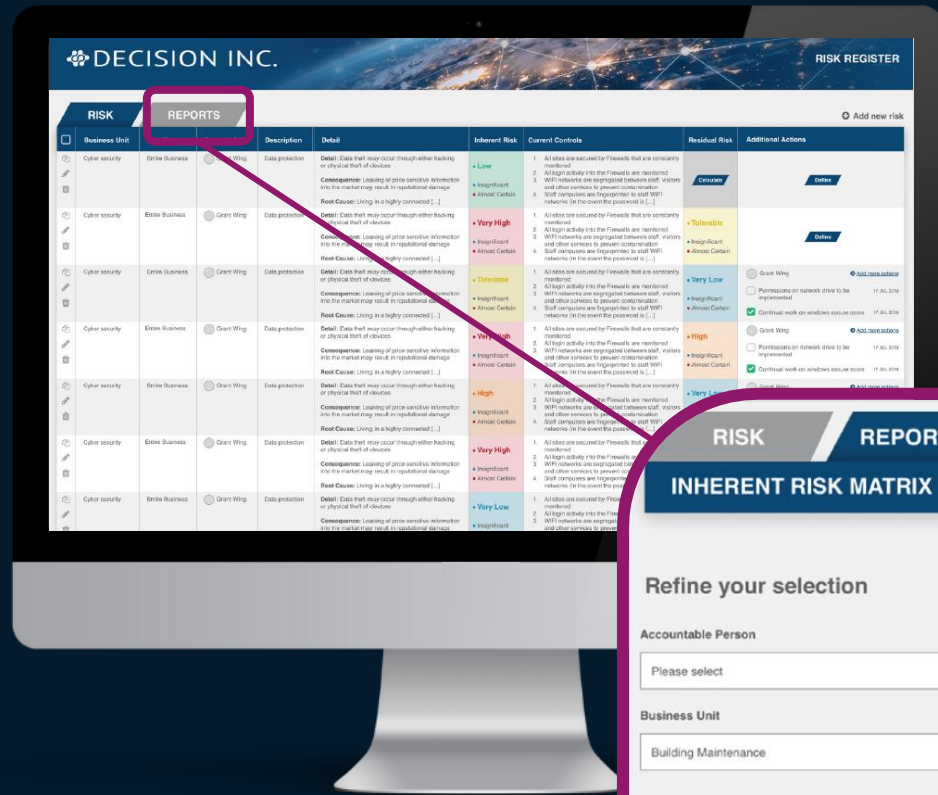


## HOME PAGE

Homepage view of all risks, showing both the inherent and residual risks and an overview of additional actions that need to be taken.



# REPORT VIEW



RISK
REPORTS

### INHERENT RISK MATRIX

Refine your selection Switch to residual risk view

Accountable Person

Please select

Responsible Person

Please select

Business Unit

Building Maintenance

Location

Please select

Impact

	1	2	3	4	5
Catastrophic	3	3	4	2	2
Major	3	3	2	1	1
Moderate	3	3	3	1	3

#### RESULTS

	Details	Ex
●	Data protection Cyber Security Entire Business	1 2 3 4
●	Data protection Cyber Security Entire Business	1 2 3 4
●	Data protection Cyber Security Entire Business	1 2 3 4
●	Data protection Cyber Security Entire Business	1 2 3 4

The report view presents the quantity of risks per risk rating on a heatmap, selecting a specific rating shows the specific risks applicable

# RISK CALCULATION

RISK		REPORTS		RISK REGISTER				
Business Unit	Location	Accountable	Description	Detail	Inherent Risk	Current Controls	Residual Risk	Additional Actions
Cyber security	Entire Business	Grant Wing	Date protection	<p>Detail: Data that may occur through either hacking or physical theft of devices</p> <p>Consequence: Leaking of price sensitive information into the market may result in reputational damage</p> <p>Root Cause: Living in a highly connected world</p>	<ul style="list-style-type: none"> <li>Low</li> <li>Insignificant</li> <li>Almost Certain</li> </ul>	<p>All sites are secured by Firewalls that are constantly monitored</p> <p>All High activity into the Firewalls are monitored</p> <p>WiFi networks are segregated between staff, visitors and other services to prevent contamination</p> <p>Staff computers are segregated to staff WiFi networks to prevent the spread of malware</p>	<p>Certain</p>	<p>Update</p>
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Cyber security	Entire Business	Grant Wing	Date protection	<p>Detail: Data that may occur through either hacking or physical theft of devices</p> <p>Consequence: Leaking of price sensitive information into the market may result in reputational damage</p> <p>Root Cause: Living in a highly connected world</p>	<ul style="list-style-type: none"> <li>Tolerable</li> <li>Insignificant</li> <li>Almost Certain</li> </ul>	<p>1. All sites are secured by Firewalls that are constantly monitored</p> <p>2. All High activity into the Firewalls are monitored</p> <p>3. WiFi networks are segregated between staff, visitors and other services to prevent contamination</p> <p>4. Staff computers are segregated to staff WiFi networks to prevent the spread of malware</p>	<p>Very Low</p>	<p>Grant Wing</p>
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Leaking of price sensitive information into the market may result in reputational damage

**Root Cause**  
Living in a highly connected world

**Inherent Risk**

- High
- Insignificant
- Almost Certain

**CALCULATE RESIDUAL RISK**

**Impact**

○  
Insignificant

○  
Minor

●  
Moderate

○  
Major

○  
Catastrophic

**Likelihood**

○  
Rare

●  
Unlikely

○  
Possible

○  
Likely

○  
Almost Certain

**Easily calculate the risk based on standardised metrics of "Impact" and "Likelihood".**



# Delivery Approach

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**We take a consultative approach to implementing our Risk App.**

**The solution is setup and configured according to your unique requirements.**



<b>1 CONSULT</b> 	<b>Business Rules &amp; Requirements</b> <ul style="list-style-type: none"><li>• Information Architecture</li><li>• Risk and Control Types, Categories &amp; Rules</li><li>• Risk and Control Properties</li><li>• Risk Process Automation</li><li>• User Roles and Permissions</li><li>• Task Reminders, Escalations, timing and rules</li><li>• Reporting / BI</li><li>• Custom Functionality</li></ul> <b>Existing Risk Register Migration</b> <ul style="list-style-type: none"><li>• Source Systems &amp; Destination</li><li>• Risk History, Meta Data</li></ul>
<b>2 IMPLEMENT AND CUSTOMISE</b> 	<ul style="list-style-type: none"><li>• Deploy and configure</li><li>• Enhance and build custom functionality</li><li>• Migration</li><li>• Training and adoption</li></ul>
<b>3 SUPPORT</b>	<ul style="list-style-type: none"><li>• Issue and query resolution</li><li>• Guidance and advise</li></ul>