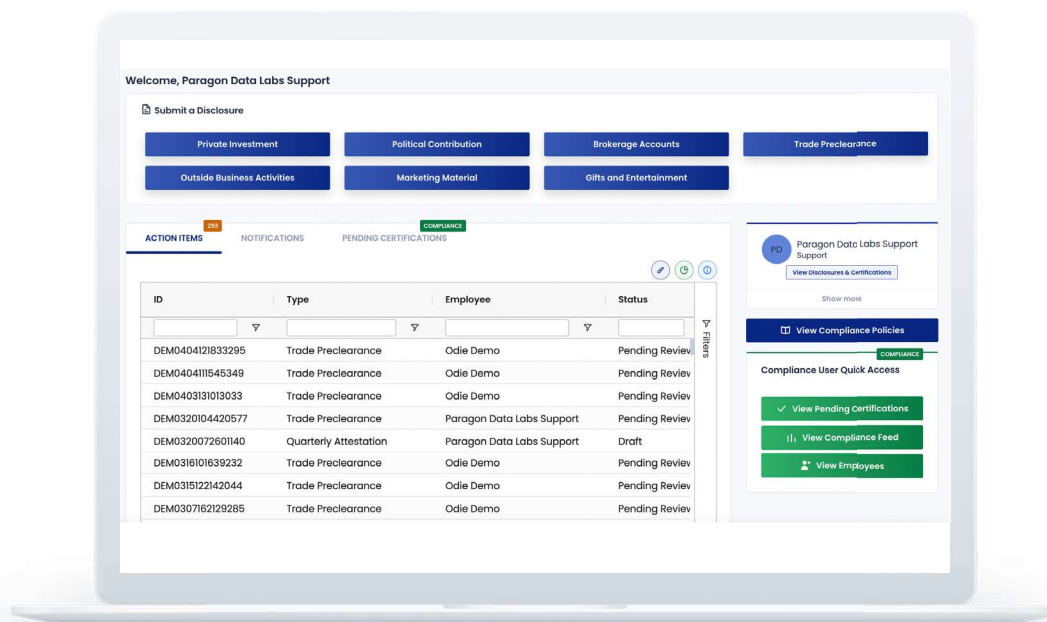


Reduce risk with better tracking of outside business interests

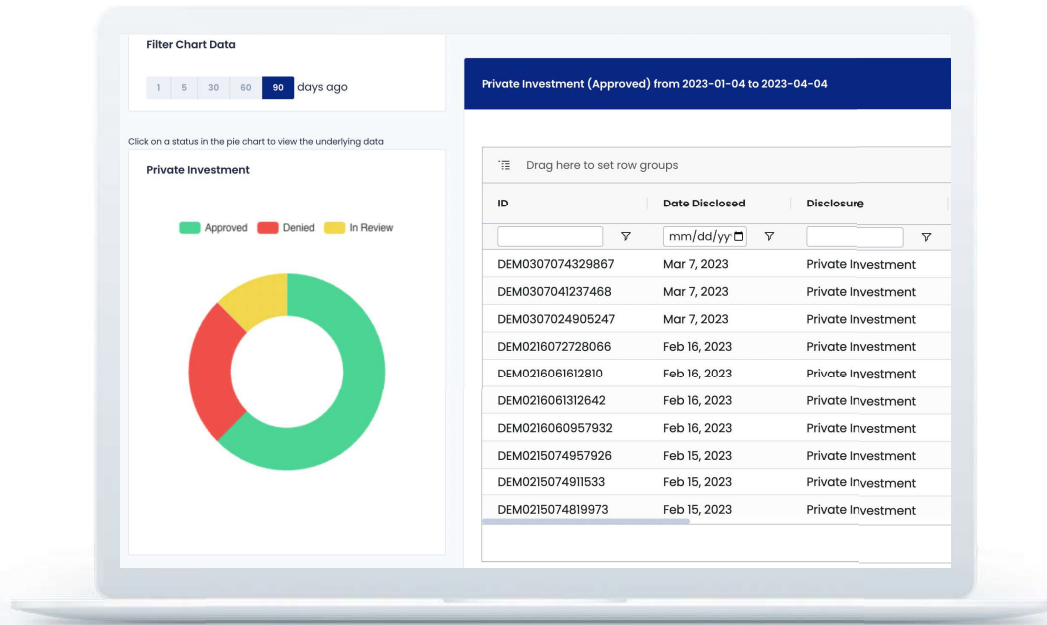
As your firm takes on my business, managing risk becomes more complex. Intapp Employee Compliance makes it easier for your employees to disclose their outside business interests, submit attestations, and comply with firm policies and regulations. It also improves your compliance team's oversight and management capabilities, helping your firm avoid the risk of fines, penalties, and reputational damage.

Intapp Employee Compliance helps minimize risk and maximize compliance across your entire firm:

- Streamline policy alignment by automating the pre-clearance process and monitoring all employee activity
- Improve visibility into employee document completion
- Easily create and deliver comprehensive, configurable reports
- Help compliance teams review and manage employee activities in a centralized location



Give your compliance team easy access to next steps and a clear and complete view of document status



Display a dynamic dashboard of information that's most important to each user

Features and benefits

Automated trade pre-clearance

Streamline trade execution and approval using your firm's restricted and watch lists.

Configurable workflows with reminders

Support timely staff action and trade approvals with documentation, approval workflows, and notifications.

Standardized data organization

Identify conflicts of interest, support timely remediation, and enable streamlined pre-clearance with consistent classification of investment-holding data.

Integrated compliance

Automate end-to-end employee compliance via integration with your firm's technology ecosystem, including HR and trading systems.

Managed setup and maintenance of brokerage data feeds

Save time and reduce error during review and approval of employee trade requests.

An auditable system of record

Provide comprehensive histories of compliance actions for firm management and regulators.

A single location for employee tasks

Provide a hub for staff to initiate, track, and receive approval for personal trading, political donations, cryptocurrency activity, and more.

Personalized dashboards

Identify key data patterns for timely, appropriate action with role-based, centralized data views and dynamic reporting tools.

Learn how your team can minimize risk exposure at intapp.com/employee-compliance