

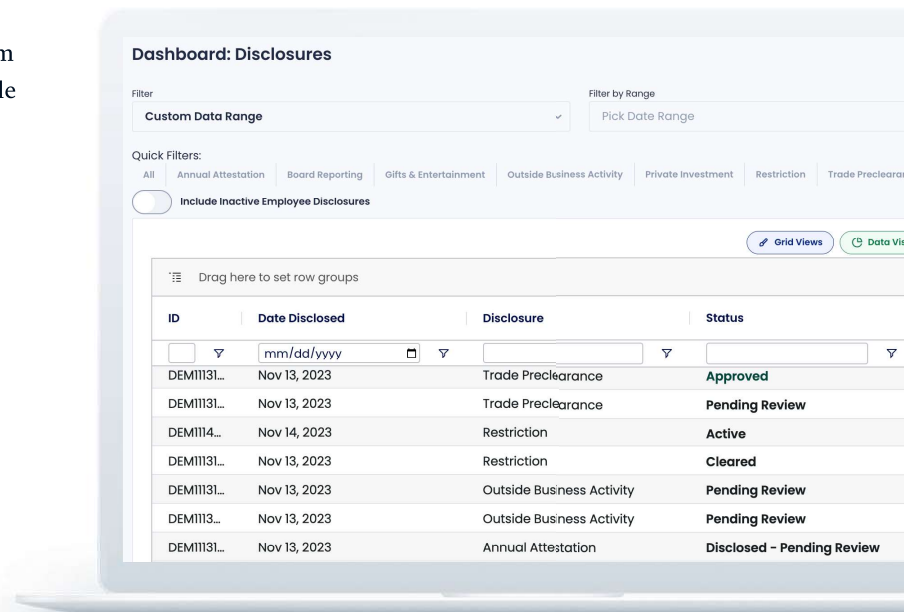
Intapp Employee Compliance

Reduce your firm's risk with centralized attestation and declaration management

Manually tracking internal adherence to firm policy can be a complex process — yet it's critical to reducing exposure to fines, lawsuits, and reputational damage. Intapp Employee Compliance makes policy education, adherence, and enforcement easier for partners, employees, and compliance teams.

With Intapp Employee Compliance, your compliance team can easily view and track partners' and employees' outside affiliations, business interests, and investment activities:

- Monitor declarations and document completion via at-a-glance dashboard views
- Review and manage outside activities using a centralized hub
- Create and deliver detailed reports with pre-designed, configurable dashboards
- Track and document changes with comprehensive auditing capabilities



Give your teams clear visibility into completed declarations

Intapp Employee Compliance makes it simple for partners to understand and adhere to firm policies

- Provides quick, centralized access to all firm policies
- Reminds partners of their obligations using policy notifications timed with firm attestation cycles
- Enables partners to disclose outside business interests and submit attestations in a timely manner via one location

Tap into powerful advantages that manual processes can't match



Automatic policy governance

Support policy compliance by automatically sending alerts when action is required



An auditable system of record

Provide comprehensive histories and audit trails for firm management, clients, regulators, and others



Configurable workflows

Define decision workflows based on firm policy and create role-based access control



One location for employee declarations

Create a hub for completing and managing declarations, attestations, and other firm documents



Personalized reporting

Provide role-based, centralized data views and use dynamic tools to configure information as needed for reporting or audit purposes

Learn how Intapp Employee Compliance can mitigate risk at your firm. Visit intapp.com/legal/declaration-management or reach out to your Intapp representative.