

# shield. Moving Compliance Forward.

## A unique cross-regulation 360° solution for all compliance work

Compliance teams have to break through the IT data silos in order to properly investigate and meet regulatory requirements. It usually involves multiple siloed systems.

With Shield, compliance teams (1st line and 2nd line) have full end-to-end visibility on all data sources. eComms, trade and market data included in one platform, in order to efficiently manage records, reconstruct trades and lower false positive alerts.

### Strong integrations

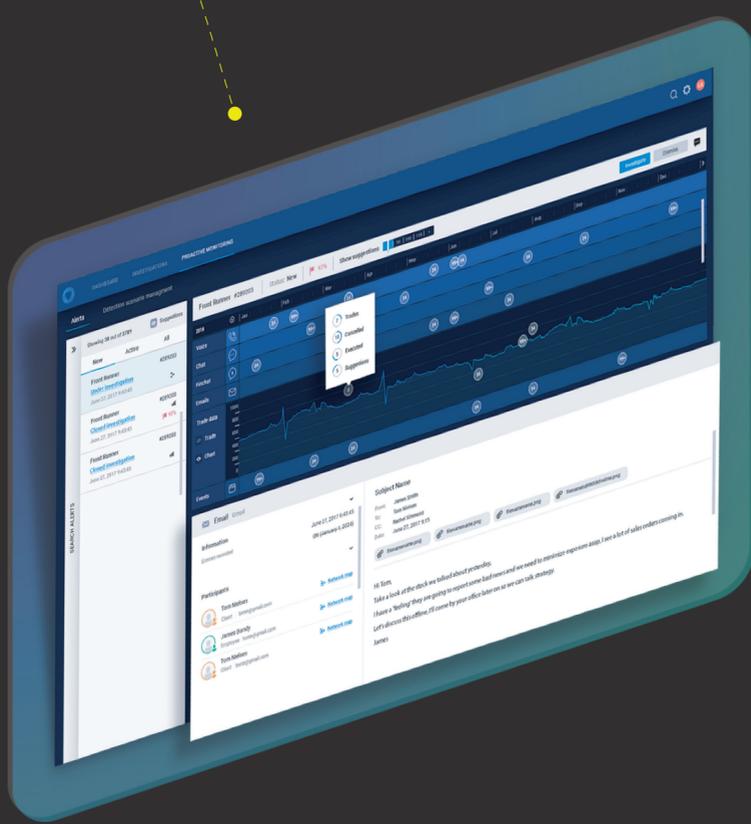
Using our multi-source plugin layer, Shield's pre-integrated connectors obtain all relevant data sources.

### Breaking the data silos

By normalizing the unstructured data and enriching the structured data, Shield interconnects and correlates all sources in one easy-to-use view.

### Use of advanced technologies (AI/ML/NLP)

We use our proprietary AI powered, Financial Context Recognition engine, to correlate between the eComms, trade and market data, in order to reconstruct the full trade lifecycle, from the first communication all the way to an order/execution.



## Shield's Cross-Regulations Platform for effective and efficient compliance work

### eDiscovery / RecordKeeping

By combining all the data sources, firms can perform eDiscovery as well as full records management, as required by MIFID ii, MAR and other regulations.

### Investigations & Trade Reconstruction

Automatically connecting eComms to associated trade and market data is no easy task. With Shield's proprietary engines and advanced correlating analytics capabilities, investigations become much more visualized, quicker and easier.

### Proactive Monitoring / Surveillance

Regulations require firms to proactively monitor and surveil all trading activity. Shield's platform provides a comprehensive tool to create smarter and more accurate alerts.

### False Positives reduction

Shield's enriched and enhanced detection scenario library helps reduce false positive alerts to deliver effective surveillance. The AI engine provides positive alert likelihood to save the compliance team thousands of work hours on false alerts.

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