

# shield.

eComms compliance platform





# ABOUT US



**RegTech  
Startup  
Established  
in 2018**



**Offices in  
Tel Aviv, London and  
Copenhagen**



**Team of 30**



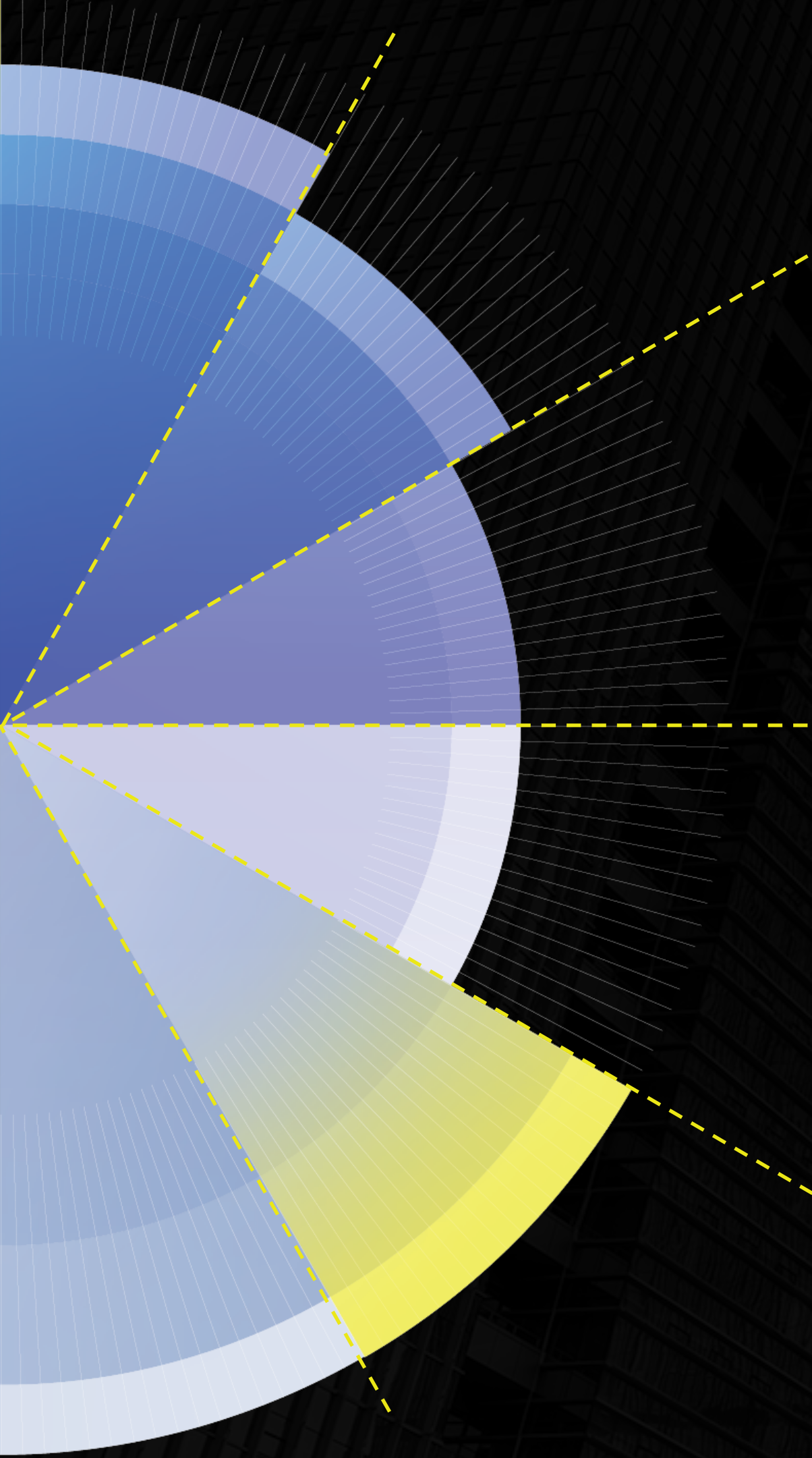
**Domain expertise within  
compliance, surveillance,  
and data science**



**Deployed in Tier  
1/2 financial  
institutions**



# eComms Compliance Landscape



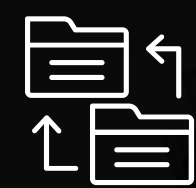
## Regulation



Multiple regulations cover eComms data supervision



Increasing use of eComms platforms bring on new regulatory challenges



Major fines given related to eComms misconduct

## Compliance



eComms is an inherent part of understanding potential market abuse

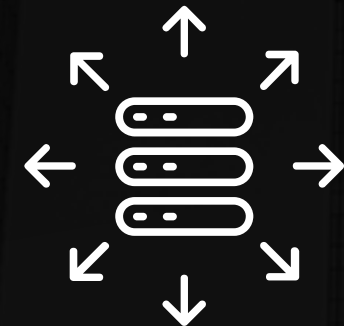


Heavy manual processes when handling eComms

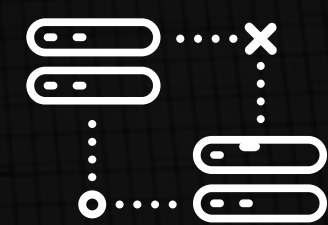


High false positive alert rate on eComms

## Technology



eComms data and systems are extremely siloed



eComms data is unstructured and not enriched



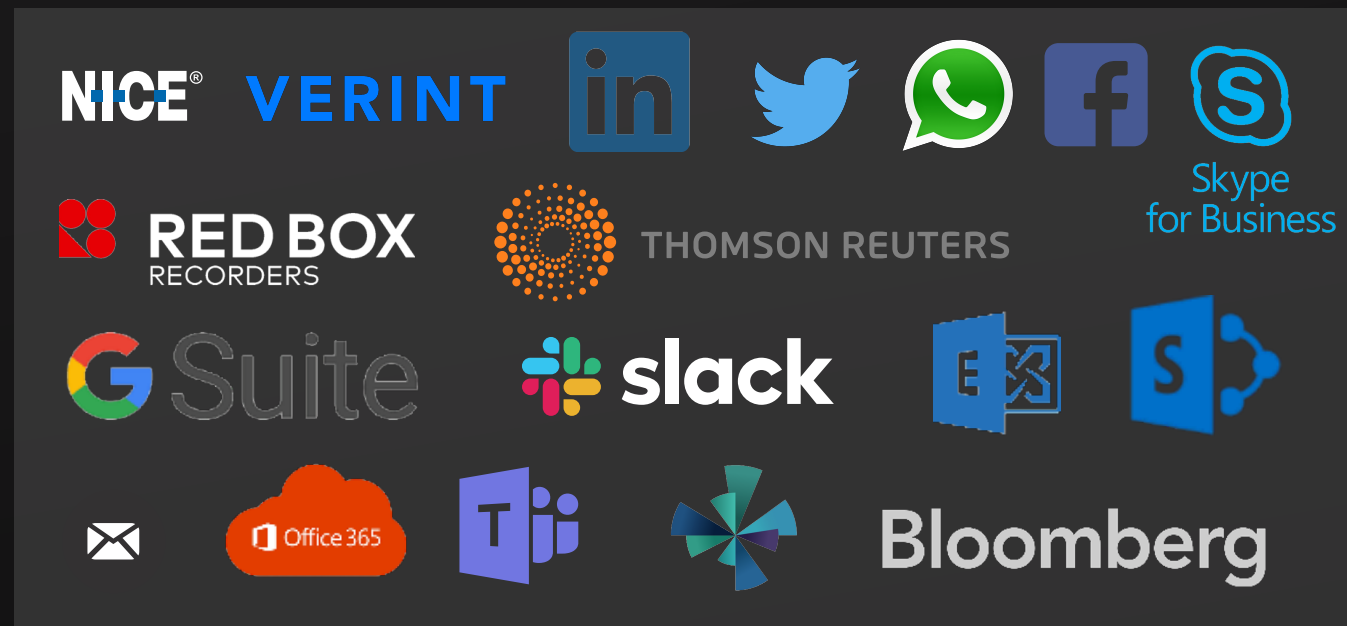
Correlating entities around eComms



# Shield automates & orchestrates the complete eComms lifecycle, from **capture**, **analytics** and **collaboration**, across channels and regulations

## shield.

### eComms



### Trade/Voice/HR Data



Compliance Hub



Analytics (AI & ML)



Detection Models

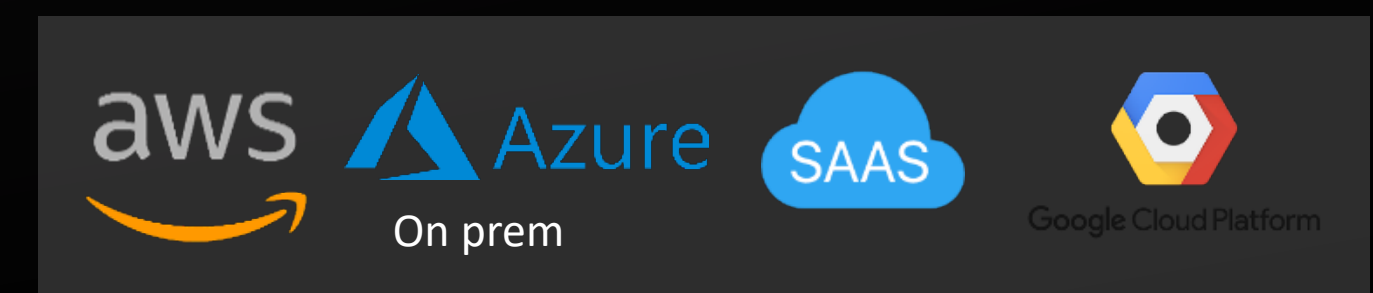
### Regulations



### Application



### Deployment



## shield.

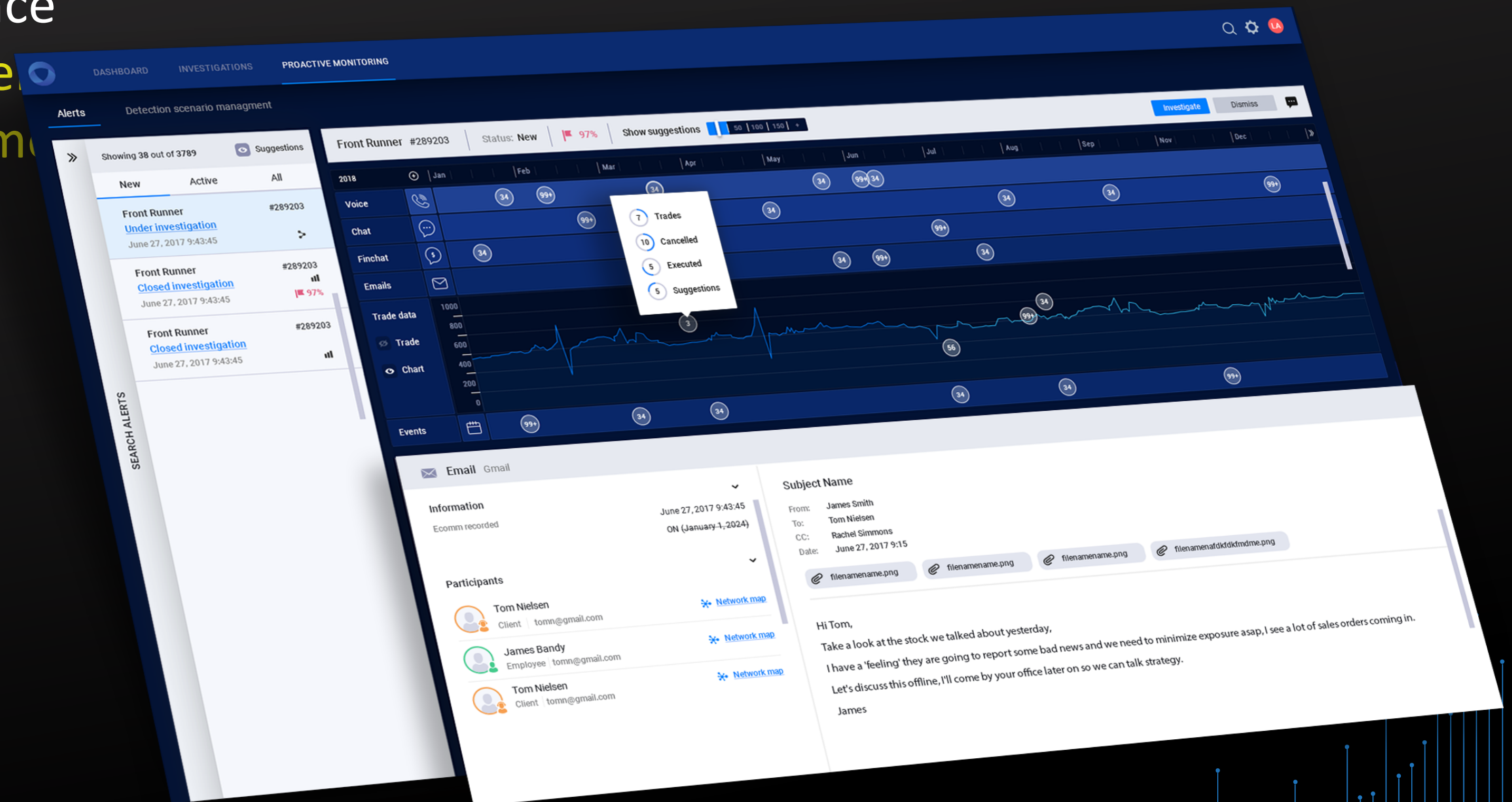


# Shield is the ultimate eComms compliance and surveillance platforms

- Breaking the silos and enriching data with advanced technology to provide a full view on **eComms & trades**
- Addresses cross-regulations and automating workflows
- End to end eComms compliance capabilities including **eDiscover, Investigation & Data Management**

Proprietary AI-powered detection modules for **Market Abuse, Employee Conduct & Information Sharing**

**shield.**





# Shield platform modules



eComms Surveillance



Behavioral Models



Trade Reconstruction



eDiscovery & Investigations



Compliance Data Management



# Data management capabilities





# Shield's eComms Compliance Platform



End-to-end eComms  
lifecycle management



An enterprise grade  
solution built for scale



Contextual-AI based  
prediction



Open & collaborative  
framework



Easy to deploy



Hybrid installation  
model



Extensive implementation and  
consultancy partnerships



Cost effective



# Surveillance platform – Hybrid Analytics Pipeline





# Microsoft Teams Use Case



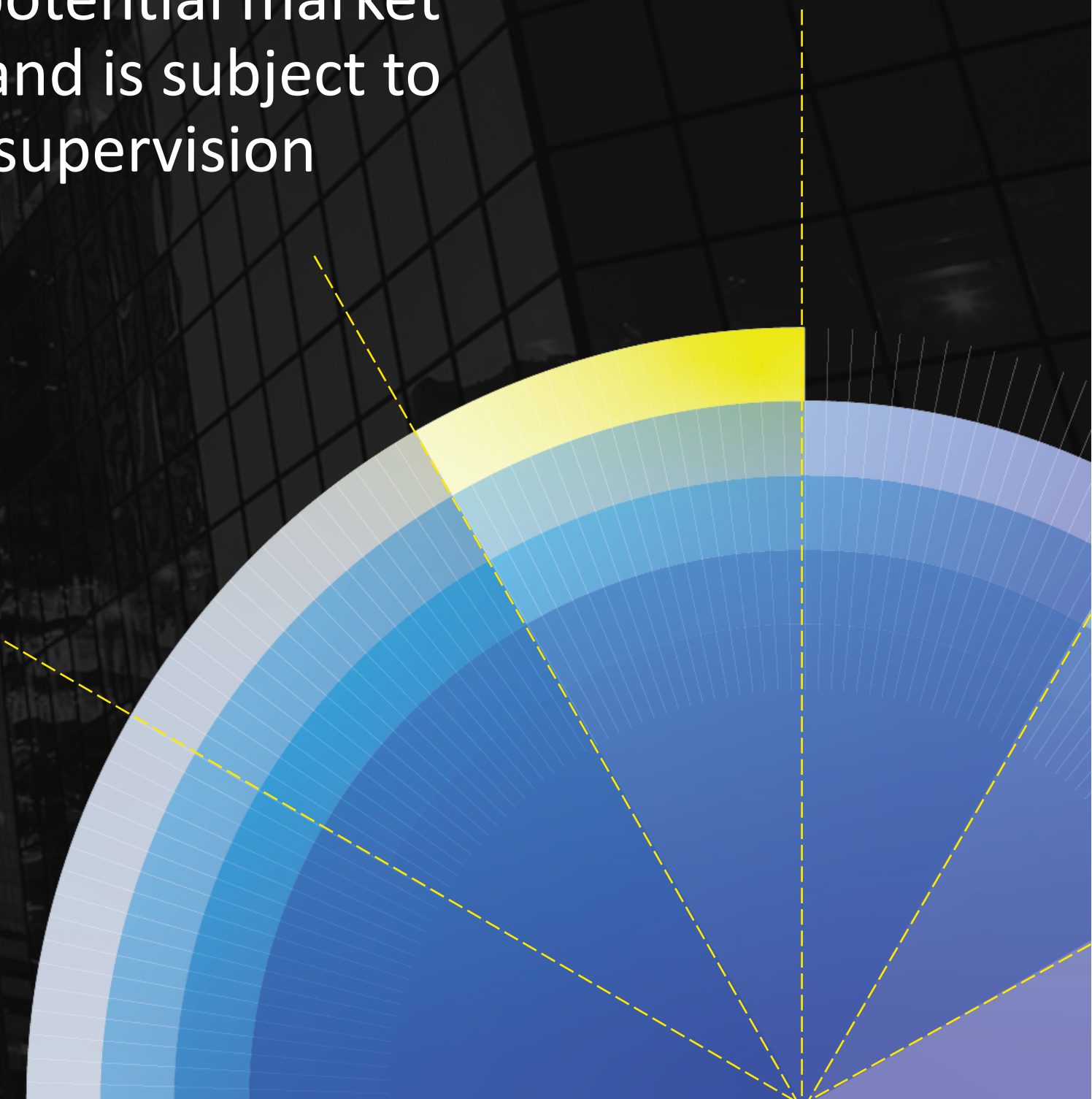
Regulated Financial Institutions are switching to cloud solutions and using Microsoft Teams for collaboration



An advanced eComms compliance platform (like Shield) is required to allow financial regulated users to use Teams



Information shared via Teams can be part of potential market manipulations and is subject to regulatory supervision





# Flexible Deployment Models

On-Prem

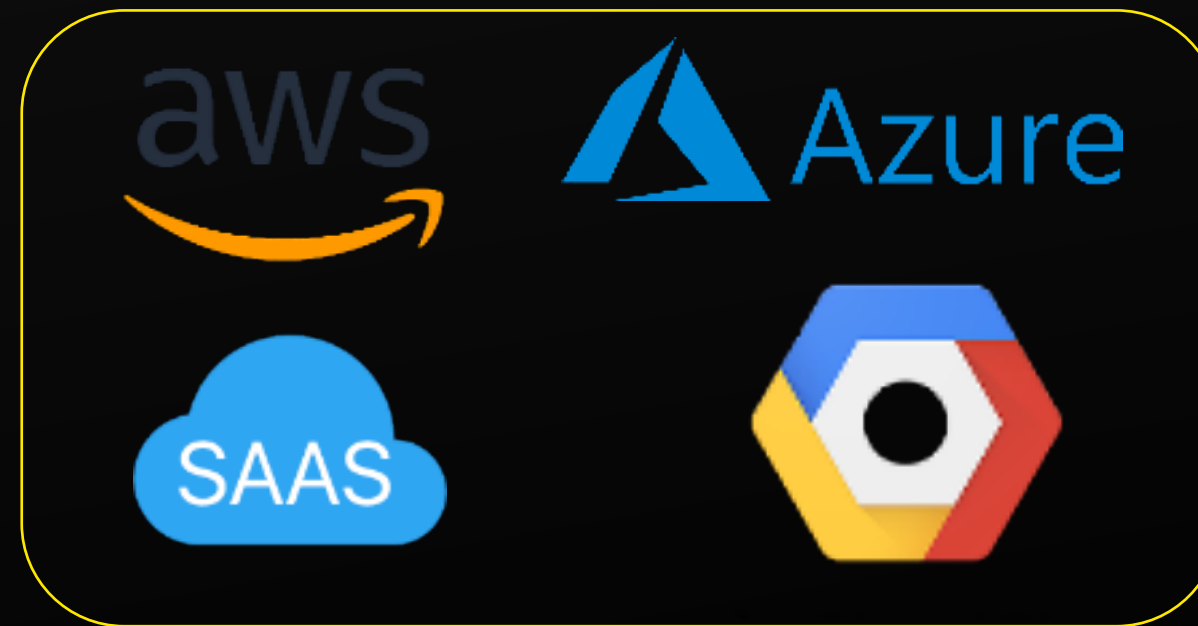


Data center  
or private  
cloud

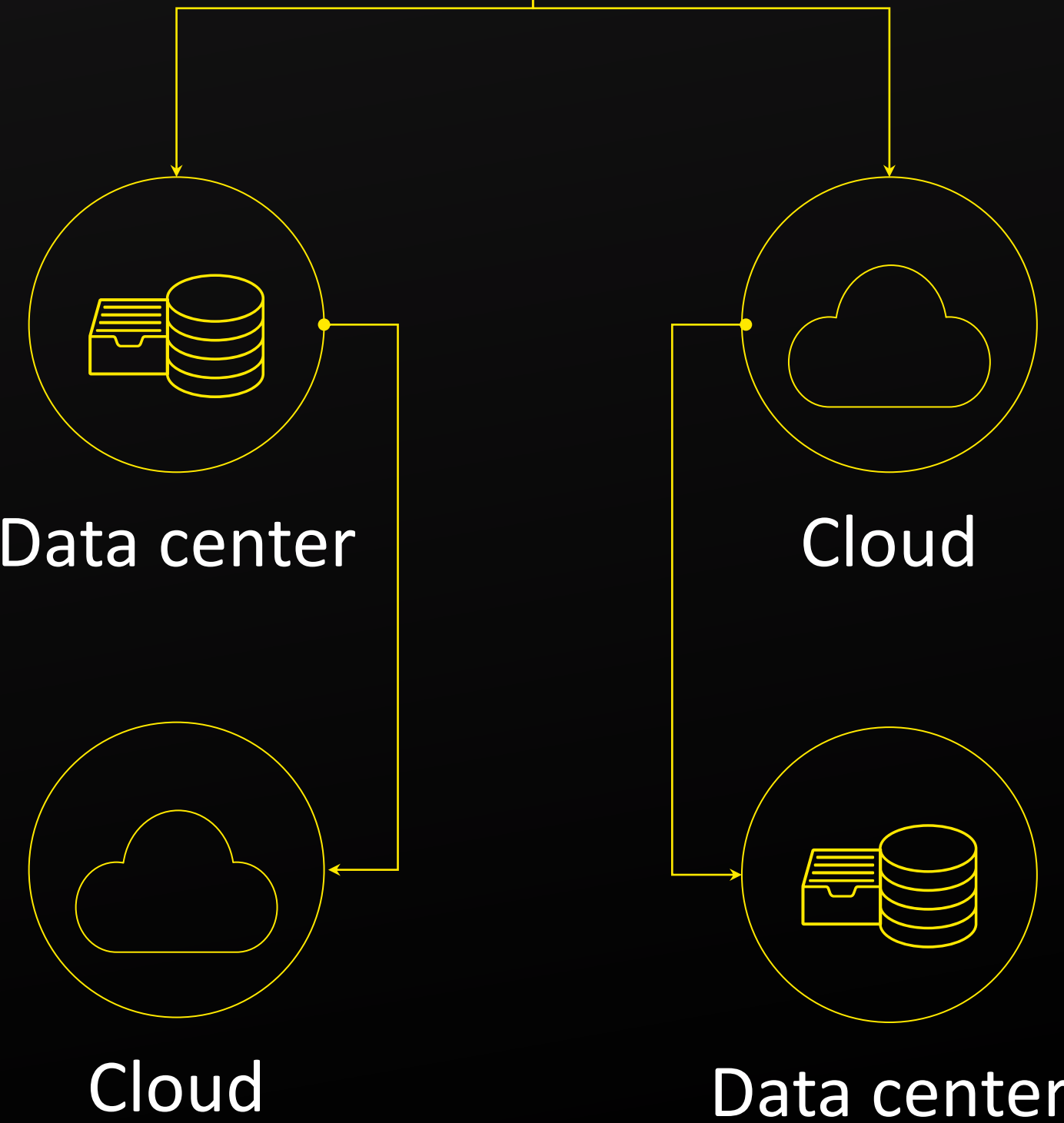
Cloud



Single Tenant  
Cloud



Hybrid







Thank You!

