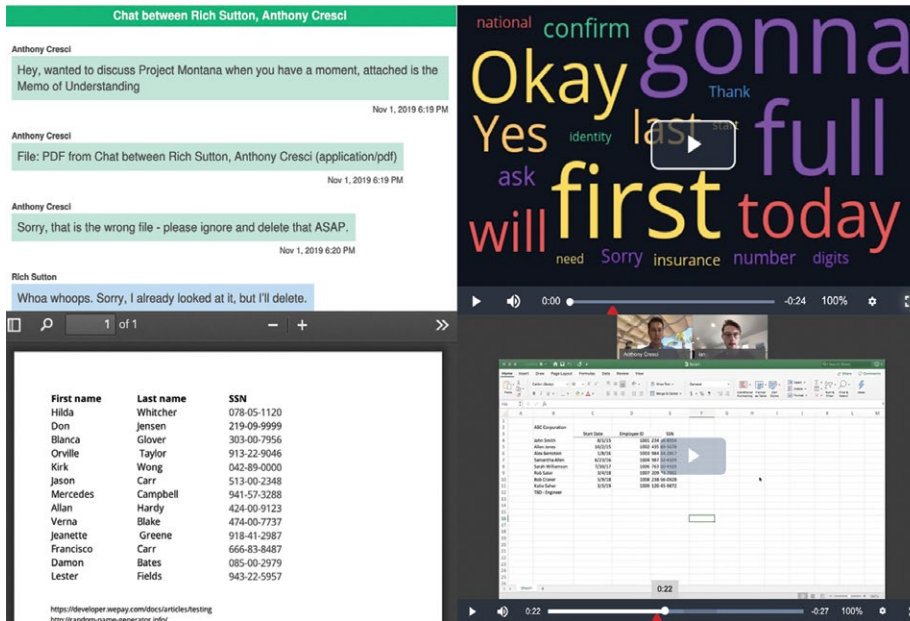# THETALAKE

Theta Lake Integration with Microsoft Teams

Compliance for collaborative chat communications, made easy

Microsoft Teams is the fastest growing app in Microsoft's history with more than 330,000 companies worldwide using it and 44 million daily active users, demonstrating the value and productivity gains from using Teams to collaborate with customers and partners.

Collaboration chat creates a rich and effective way to communicate both internally and externally—from sending messages to sharing videos, audio, files, and other content. Firms operating in regulated verticals need to comply with compliance, legal, and regulatory requirements on retention, eDiscovery, and supervision of electronic communications, including collaboration platforms. For example, financial services firms need to preserve business-related communications in a non-rewriteable and non-erasable format to comply with SEC 17a-4 Books and Records.

Capture, archive, search, and supervise chat messages along with audio, video, and shared files

Collaboration chat has become a focus for regulators. FINRA's 2019 Examination Report Findings are a clear example of regulatory expectations for collaboration platform record-keeping: "If a firm permits its associated persons to use a particular application—for example, an app-based messaging service or a collaboration platform—the firm must preserve records of business-related communications and supervise the activities and communications of those persons on the application."

Theta Lake makes this simple by seamlessly integrating with Microsoft Teams to automate everything from capture to long-term compliant archiving, eDiscovery, supervision, and detection of potential data loss in chat and shared content.

Experience the Advantages

Theta Lake unlocks the benefits of chat collaboration for regulated industries with a purpose-built compliance platform that supervises and archives Microsoft Teams content.

Long-term, secure archiving, and eDiscovery

- Automates capture, ingestion, and archiving of 1:1 and group channels, both in messages and in shared content (e.g., files, audio, videos, documents)
- Securely preserves Microsoft Teams content within Theta Lake's 17a-4 compliant storage that is hosted in a secure SOC2 Type 2 certified data center, which is specifically designed for collaboration platform archiving

Improve compliance, reduce risk

- Applies AI-based technology to review 100% of Microsoft Teams content for compliance and data loss risks using 50+ pre-built policies and any custom-created rules
- Enables compliance teams to focus manual review efforts on content based on identified risks
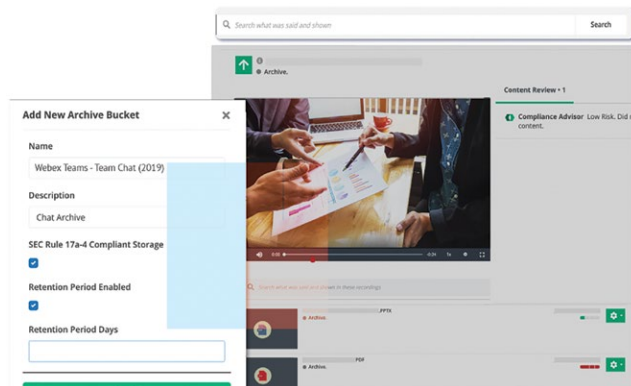
Save time and money

- Enables search within messages and any shared media files using robust eDiscovery tools
- Enables review of messages in a full threaded review screen that provides access to historical messages and content shared

Use Cases

#1 Archiving, retention, and eDiscovery for Microsoft Teams

Theta Lake enables compliance, legal, and risk teams to securely capture, retain, retrieve, and review fully threaded messages—both from 1:1 and group channels, as well as any files shared through Microsoft Teams.

Conversations are saved in their original threaded context. Plus, shared files and rich media are archived based on retention policies, enabling you to readily comply with SEC Rule 17a-4 data retention requirements. Legal and compliance teams can perform rich eDiscovery across threaded messages, shared files, and even within audio and video recordings. This includes spoken, shown, shared content.

Native integration enables seamless capture, archival, long-term retention, and eDiscovery for any content communicated across Microsoft Teams

Free, SOC2 Type2, WORM compliant archive captures and archives 1:1 and group channel communications

#2 Automating compliance risk and data loss detection

Existing supervision practices typically only focus on the text within Teams chat, leaving a blind spot when it comes to files, audio recordings, video recordings, or images shared through this channel. Theta Lake takes a holistic approach to review. Our solution analyzes any form of shared content to identify potential data loss or compliance risks and makes it easily searchable for legal discovery.

Powered by deep learning and AI, Theta Lake automatically detects confidential data, risky behavior, and compliance risks, pointing reviewers to an exact piece of content that requires further investigation.
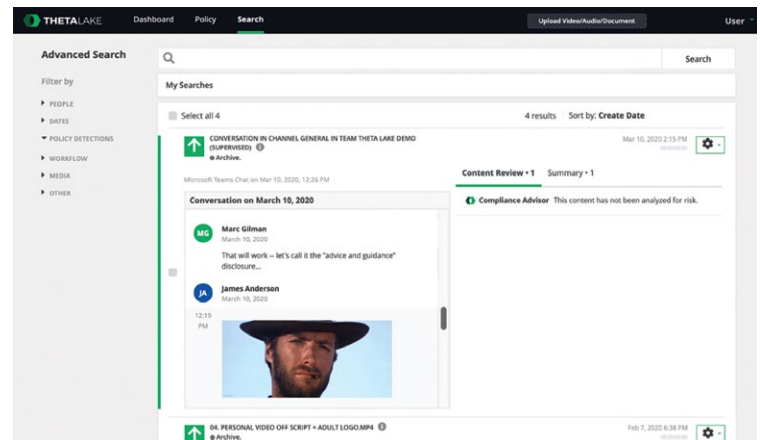
#3 Free, 17a-4 compliant collaboration archive (CCA)

Theta Lake provides a free, 17a-4 compliant archiving module of its compliance and supervision suite for firms looking for a low-cost way to get started. CCA includes seamless capture of Teams content with 100GB of free storage, full archive and retention policy management, and easy access, viewing, and metadata search of messages and shared content.

ML-powered policies automatically detect compliance, conduct, regulatory, and data loss risks

How it Works

Joint Microsoft Teams and Theta Lake customers benefit from policy-based retention, automated risk detection, and workflow insights that streamline supervision of collaboration chat.

1. Theta Lake natively integrates with Microsoft Teams to capture 1:1 and Group channel content, enabling firms to apply rule-based retention policies in a WORM compliant archive

2. Theta Lake automatically analyzes messages and shared content against chosen compliance policies, assigns a risk score, and routes high-risk content to compliance reviewers to take action.

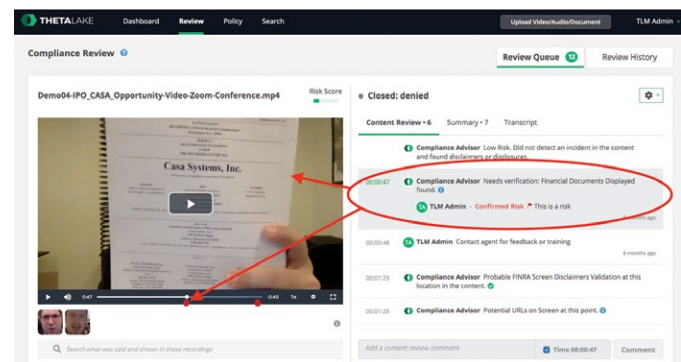3. Organizations have access to rich eDiscovery across threaded messages and shared files, as well as the ability to apply legal holds on content.